



BNP PARIBAS
MUTUAL FUND

SYSTEMATIC WITHDRAWAL PLAN (SWP) ENROLMENT FORM

(Please read instructions overleaf)

DISTRIBUTOR / BROKER INFORMATION [refer instruction 1(b)]

Name and AMFI Reg. No.	Sub Agent's Name and AMFI Reg. No.	Bank Serial No.	SBFS Serial No.	Sub-Broker Code	EUIN
ARN- 132061	ARN-			(As allotted by ARN holder)	E352389

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

I/We hereby confirm that the EUIN box has been intentionally left blank by me / us as this transaction is executed without any interaction or advice by the employee / relationship manager / sales person of the above distributor / sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee / relationship manager / sales person of the distributor / sub broker.

First / Sole Applicant
/ Guardian / POA Holder
/ Authorised Signatory

Second Applicant
/ Guardian / POA Holder

Third Applicant
/ Guardian / POA Holder

1. APPLICANT'S INFORMATION (Mandatory, if left blank, the application is liable to be rejected)

Name of Sole / First Unit Holder	First Name	Middle Name	Last Name
Folio No.	Application No.		
Mode of Holding (please ✓) <input type="checkbox"/> Single <input type="checkbox"/> Joint <input type="checkbox"/> Anyone or Survivor	PAN (First Unit Holder)		
Mobile No. +91	E-mail ID		

2. SYSTEMATIC WITHDRAWAL PLAN DETAILS

Scheme / Plan / Option			
Type of SWP	<input type="checkbox"/> Fixed SWP <input type="checkbox"/> Capital Appreciation SWP	Amount: _____	
Frequency (Please ✓) <input type="checkbox"/> Weekly SWP <input type="checkbox"/> Monthly SWP <input type="checkbox"/> Quarterly SWP	(Calendar Quarter i.e. January, April, July and October)		
SWP Date	Weekly SWP (Please ✓ any one only) <input type="checkbox"/> 1st <input type="checkbox"/> 7th* <input type="checkbox"/> 15th <input type="checkbox"/> 25th *Default.	Monthly and Quarterly SWP (Please ✓ any one only) <input type="checkbox"/> 1st of the month <input type="checkbox"/> 7th* of the month <input type="checkbox"/> 15th of the month <input type="checkbox"/> 25th of the month *Default.	
Enrolment Period	<input type="checkbox"/> Regular From <input type="text"/> / <input type="text"/> / <input type="text"/> To <input type="text"/> / <input type="text"/> / <input type="text"/>	<input type="checkbox"/> Perpetual From <input type="text"/> / <input type="text"/> / <input type="text"/> To <input type="text"/> / <input type="text"/> / <input type="text"/>	

DECLARATION

I / We am / are not prohibited from accessing capital markets under any order / ruling / judgment etc., of any regulation, including SEBI. I / We confirm that my application is in compliance with applicable Indian and foreign laws. I / We hereby confirm and declare as under:- I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I / We hereby declare that I am / we are not a US person, within the meaning of the United States Securities Act, 1933, as amended from time to time; and that I am / we are not applying on behalf of or as proxyholders of a person who is a US person. I/We hereby declare that I am/ We are competent under the applicable laws and duly authorised where required, to make this investment in the above mentioned scheme. I / We confirm that I am / we are not NRIs / PIOs residing in any of the prohibited / banned Countries mentioned in the SID / addendums to the SID. I / We have read, understood and hereby agree to comply with the terms and conditions of the scheme related documents and apply for allotment of Units of the Scheme(s) of BNP Paribas Mutual Fund ('Fund'). I/We hereby confirm that the proposed investment is being made from known, identifiable and legitimate sources of funds / income of mine only and I am / we are the rightful beneficial owner(s) of the funds and the resulting investments therefrom. The above mentioned investment does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions or of the provisions of any law in India including but not limited to The Income Tax Act, the Prevention of Money Laundering Act, 2002, The Prevention of Corruption Act, 1988 and / or any other relevant rules / guidelines notified in this regard or applicable laws enacted by the Government of India / any other regulatory body from time to time. I / we hereby understand and agree that if any of the aforesaid disclosures made / information provided by me / us is found to be contradictory or non-reliable to the above statements or if I / we fail to provide adequate and complete information, the AMC / Mutual Fund / Trustees reserve the right to not create a folio / account, reject the application / withhold the investments made by me / us and / or make disclosures and report the relevant details to the competent authority and take such other actions as may be required to comply with the applicable law as the AMC / Mutual Fund / Trustees may deem proper at their sole option.

I / We hereby authorise the Fund, AMC and its Agents to disclose my / our details including investment details to my / our bank(s) / Fund's bank(s) and / or Distributor / Broker / Investment Advisor and to verify my / our bank details provided by me / us, or to disclose to such service providers as deemed necessary for conduct of business. I / We confirm that I / We do not have any existing Micro SIP / Investments which together with the current application will result in aggregate investments exceeding Rs. 50,000/- in a financial year or a rolling period of one year (Applicable for PAN exempt category of investors). I / We will indemnify the Fund, AMC, Trustee, RTA and other intermediaries in case of any dispute regarding the eligibility, validity and authorization of my / our transactions. The ARN holder (AMFI registered Distributor) has disclosed to me / us all the commissions (in the form of trail commission or any other mode), payable to him / them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us. I / WE HEREBY CONFIRM THAT I / WE HAVE NOT BEEN OFFERED / COMMUNICATED ANY INDICATIVE PORTFOLIO AND / OR ANY INDICATIVE YIELD BY THE FUND / AMC / ITS DISTRIBUTOR FOR THIS INVESTMENT.

I / We declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete and further agree to furnish such other further/additional information as may be required by the BNP Paribas Asset Management India Pvt Ltd (AMC) / Fund. I further undertake to advise the AMC / Mutual Fund/ Trustees promptly of any change in circumstances which causes the information contained herein to become incorrect and to provide the AMC / Mutual Fund/ Trustees with a suitably updated self-declaration within 30 days of such change in circumstances. I hereby declare that the AMC / Fund can provide my information to any institution / tax authorities / governmental body for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

Additional declaration for NRIs only : I / We confirm that I am / We are Non-Resident of Indian Nationality / Origin and I / We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my / our Non-Resident External / Ordinary Account / FCNR Account.

Additional declaration for Foreign Nationals Resident in India only: I/We will redeem my / our entire investment/s before I / We change my / our Indian residency status. I / We shall be fully liable for all consequences (including taxation) arising out of the failure to redeem on account of change in residential status.

Additional declaration for NRIs / PIO / OCIs only: I / We am / are not prohibited from accessing capital markets under any order / ruling / judgment etc., of any regulation, including SEBI. I / We confirm that my application is in compliance with applicable Indian and foreign laws. please (✓) ☐ Yes ☐ No

If yes, (✓) ☐ Repatriation basis ☐ Non-Repatriation basis

SIGNATURE(S)

First / Sole Applicant /
Guardian

Second Applicant /
Guardian

Third Applicant / Guardian

Date

/ /



ACKNOWLEDGEMENT SLIP (To be filled in by the Unit holder)

Systematic Withdrawal Plan (SWP)

Date: / /

Received from Mr./Ms./M/s. _____

'SWP' application for redemption of Units; Scheme _____

Plan _____ Option _____

Amount Rs. _____ per ☐ Week ☐ Month ☐ Quarter

ISC Stamp, Date & Signature

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SWP - Instructions

1. This SWP enrolment form should be completed in ENGLISH and in BLOCK LETTERS only. Please tick in the appropriate box for relevant options wherever applicable. This enrolment form, complete in all respects, should be submitted at any of the Investor Service Centres (ISCs).
2. Unit holders are advised to read the Key Information Memorandum / Scheme Information Document / Statement of Additional Information of the respective Scheme(s) carefully.
3. A single SWP Enrolment Form can be used for one Scheme / Plan / Option only. Investor should use separate forms for more than one Scheme / Plan / Option.
4. Currently Systematic Withdrawal Plan (SWP) is available for all plans of all open ended schemes offered by the fund. In case of ELSS scheme, Unitholders have the benefit of enrolling themselves under the Systematic Withdrawal Plan on the expiry of the period of three years from the date of each allotment.
5. Under SWP the investors can opt to withdraw (i.e. redeem from the Scheme) a fixed or a variable amount from their investment accounts at periodic intervals.
6. SWP offers unitholders the following two facilities:
 - i) Fixed Systematic Withdrawal Facility (FSWF)
 - ii) Capital Appreciation Systematic Withdrawal Facility (CASWF)

FSWF : An investor can issue a standing instruction to withdraw sums at a Weekly / Monthly / Quarterly (calendar quarter) intervals. The withdrawal shall be begin from the date selected on the form and every other date for weekly, the date selected for monthly and date of the first month of the quarter (e.g. 1st of January, April, July and October) in a Quarterly FSWF. Withdrawal must be for a minimum amount of Rs. 1,000/- or in multiples of Re.1/- thereafter in Weekly / Monthly FSWF and Rs. 3,000/- and in multiples of Re.1/- in Quarterly FSWF. An investor will have to opt for a minimum of 6 transactions under Weekly / Monthly FSWF and 2 transactions under Quarterly FSWF. If the net asset value of the Units outstanding on the withdrawal date is insufficient to process the withdrawal request, then the Mutual Fund will redeem all Units outstanding and the SWP request will stand withdrawn for further processing.

CASWF : An investor can issue a standing instruction to withdraw the entire capital appreciation from the Scheme at Weekly / Monthly / Quarterly intervals. The withdrawal shall be begin from the date selected on the form and every other date for weekly, the date selected for monthly and date of the first month of the quarter (e.g. 1st of January, April, July and October) in a Quarterly CASWF. Withdrawal must be for a minimum amount of Rs. 1,000/- in Weekly / Monthly CASWF and Rs. 3,000/- in Quarterly CASWF.

- An investor will have to opt for a minimum of 6 transactions under Weekly / Monthly CASWF or 2 installments under Quarterly CASWF. Please note that if there is no minimum appreciation amount on the withdrawal date, then the Mutual Fund shall process the withdrawal request for that date and the SWP request of the unitholder will stand withdrawn for further processing. The capital appreciation, if any, will be calculated from the enrolment date of the CASWF under the folio, till the withdrawal date. Subsequent capital appreciation, if any, will be the capital appreciation between the previous CASWF date and the next CASWF date.
7. If the withdrawal date under FSWF / CASWF falls on a non-business day the next Business Day will be considered for this purpose.
 8. A request for SWP will be treated as a request for Redemption from the Scheme, at the applicable NAV, subject to applicable Load.
 9. An updated Account Statement will be despatched to the Unit holder normally within three Business Days from the date of SWP transaction.
 10. Unit holders may change the amount of withdrawal, at any time by giving the ISC a written notice at least 7 Business Days prior to the next withdrawal date. However the AMC at its sole discretion retains the right to close an account if the outstanding balance, based on the Net Asset Value (NAV), falls below minimum balance.
 11. SWP may be terminated on receipt of a notice from the Unit holder. It will terminate automatically if all Units are liquidated or withdrawn from the folio or pledged or upon receipt of notification of death of the first named Unit holder.
 12. Bank Account Details :
In order to protect the interest of investors from fraudulent encashment of cheques and as per the current SEBI Regulations, it is mandatory for applicants to mention in their Application / Redemption request the bank name and account number.
 13. Signature(s) should be as it appears on the Application Form in the same order and as per the mode of holding under the folio. In case the mode of holding is joint, all Unit holders are required to sign.
 14. The Trustee / AMC reserves the right to change / modify the terms of the SWP. The applicable load structure will be in force till further notice. This load structure is subject to change and may be imposed / modified prospectively from time to time, as may be decided by the Trustee / AMC from time to time.



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