

**S** SYSTEMATIC  
**W** WITHDRAWAL  
**P** PLAN

**Escorts Mutual Fund**  
**Systematic Withdrawal Plan – Enrollment Form**



Date 

DD	MM	YYYY					

ARN	ARN NAME	Sub Agent ARN/ Bank Branch Code	Internal Code For Sub-Agent Employee	Employee Unique Identification Number (EUIIN)	FOR OFFICE USE ONLY (TIME STAMP)
132061				E352389	

Note: "Upfront commission shall be paid directly by the investor to the AMFI registered distributors based on the investor's assessment of various factors including the service rendered by the distributor".

**DECLARATION for "execution-only" transaction (only where EUIIN box is left blank)**

I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee / relationship manager/sales person of the above distributor or notwithstanding the advice of inappropriateness, if any, provided by the employee / relationship manager/sales person of the above distributor and the distributor has not charged any advisory fees on this transaction.

**Signature (s)**

(All Holder to sign in case  
mode of holding is joint)

First Account Holder

Second Account Holder

Third Account Holder

Folio: \_\_\_\_\_ Scheme: \_\_\_\_\_ Plan: \_\_\_\_\_

**1. Unit Holder Information (Mandatory)**

Name of First Holder: \_\_\_\_\_ PAN \_\_\_\_\_

Name of Second Holder: \_\_\_\_\_ PAN \_\_\_\_\_

Name of Third Holder: \_\_\_\_\_ PAN \_\_\_\_\_

Frequency: ☐ Monthly ☐ Quarterly ☐ Half Yearly

SWP Date: ☐ 1<sup>st</sup> ☐ 10<sup>th</sup>

☐ Fixed Amount (Rs.) \_\_\_\_\_ (in words) \_\_\_\_\_ ☐ Capital Appreciation

# Minimum Rs. 1000/- Period from: M/Y \_\_\_\_\_ M/Y \_\_\_\_\_

\*In case of Appreciation withdrawal Option please note that first withdrawal would be effected after a month/quarter from the start date.

**2. Declaration & Signature**

I/We have read and understood the contents of the Scheme Information Document (SID) and Key Information Memorandum (KIM), addenda issued till date of the source scheme as well as destination scheme and the terms/conditions overleaf. I/We hereby apply for enrolment under SWP and agree to abide by the terms and conditions of SWP. I / We undertake to confirm that this investment has been duly authorised by appropriate authorities in terms of all relevant documents and procedural requirements. I / We have not received nor been induced by any rebate or gifts, directly or indirectly in making investments. **The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various mutual Funds from amongst which the Scheme is being recommended to me/us.**

**Signature (s)**

(All Holder to sign in case  
mode of holding is joint)

First Account Holder

Second Account Holder

Third Account Holder

..... ✂ .....

**ACKNOWLEDGEMENT**



(To be filled by Unit holder)

[TIMESTAMP (FOR OFFICE USE ONLY)]

Folio No \_\_\_\_\_

Received From \_\_\_\_\_ SWP application for transfer of units

Transfer from (Scheme): \_\_\_\_\_ Option: \_\_\_\_\_ Sub Option \_\_\_\_\_

Total Amount(Rs): \_\_\_\_\_ OR \_\_\_\_\_ Units on ☐ Monthly ☐ Quarterly basis

## Systematic Withdrawal Plan (SWP) Guidelines

1. All correction / changes (if any) made on the registration form, needs to be authenticated by canceling the re-writing the correct details and Countersigned by the sole/all applicants.
2. Unit holders are advised to read the Scheme Information Document of the respective scheme(s) and Statement of Additional Information carefully.
3. Existing unit holders are required to submit only the SWP registration form duly completed. Unit holders name should match with the details in the existing folio number, failing which the registration form is liable to be rejected. New unit holders who wish to register for SWP are required to fill the SWP registration form along with the Scheme Application Form.
4. The AMC reserves the right to change / modify the terms and conditions of SWP.
5. The provision for 'Minimum Redemption Amount' specified if any, in the respective Scheme Information Document will not be applicable for SWP.
6. In order to protect the interest of unit holders from fraudulent encashment of redemption cheques, SEBI has made it mandatory for Unit holders to provide their bank details viz. name of bank, branch, address, account type and number, etc. to the Mutual Fund. Unit holders should note that redemption / withdrawal proceeds under the SWP will be paid by forwarding a cheque or by directly crediting the Bank Account registered in the Scheme on the date of each withdrawal. In case an unit holder wishes to change the Bank Account/address, the unit holder may fill up the change in the bank/ Address form.
7. Unit holders must use separate 'SWP' enrolment forms for different Scheme / Plan / Option.
8. **Methodology:** The amount of monthly / quarterly/ half yearly/ annual payment desired by the unit holder would have to be indicated in the application form subject to the following minimum limits.

Option	Minimum SWP	Thereafter in multiples of	Minimum no of installments
Monthly	1000	100	12
Quarterly	1000	100	4
Half Yearly	1000	100	2

If the value of investment fails to such an extent that if it is not eligible for SWP, Escorts may, at its discretion, repurchase the outstanding amount at one go.

9. **Withdrawal of SWP:** Investor wish to withdraw the SWP facility by giving the letter in writing clearly specifying the details of the scheme and folio no., at least 15 days prior to the next SWP date.
10. **Termination of SWP:**  
SWP will automatically get terminated under the following conditions.
  - (a) The unit holding under the scheme becomes nil.
  - (b) In the case of the death of the first unit holder.
11. The unit holder should submit the duly filled in SWP Enrolment Form at least 30 days before the first withdrawal date.
12. Systematic Withdrawal Plan (SWP) is available in the Growth Option of the following schemes.  
All Equity Schemes (except ELSS), All Debt Schemes  
The above list is subject to change from time to time. Please contact the nearest Escorts Centre for updated list.
13. **SWP Date:** 1st business day of every month. In case of the date falls on a holiday/book closure period, the next day will be considered for this purpose.
14. **Redemption of Units:** Based on the option opted by investor appropriate no. of units equivalent to the amount specified by the investor will be redeemed on **FIFO basis** (First in First Out).
15. Redemption of units under SWP will be at NAV after deducting the applicable exit load, TDS etc. for respective scheme.



### Address of the Registrar

**Escorts Mutual Fund, 11, Scindia House, KG Marg, Connaught Place, New Delh-110001**

**Tel : 011-43587415 / 420**