

PPFAS Mutual Fund

Systematic Investment Plan (SIP) ECS/Auto Debit Mandate Form



Investors must read the Key Information Memorandum and the instructions before completing this Form.

The Application Form should be completed in English and in BLOCK LETTERS only. **Note:** No need to attach One Time Mandate again, if already registered/submitted earlier.

Direct Plan ☐ Regular Plan ☐ (Refer Instruction Q and please tick (✓) any one)

Application No.

Distrib: **132061** / ARN No.

Sub-broker Name/ Code

E352389

I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

First Holder

Second Holder

Third Holder

Upfront commission shall be paid directly by the investor to the ARN Holder (AMFI registered Distributor) based on the investors' assessment of various factors including the service rendered by the ARN Holder.

TRANSACTION CHARGES FOR APPLICATIONS THROUGH DISTRIBUTORS ONLY (Please tick (✓) any one)

In case the subscription (lumpsum) amount is ₹ 10,000/- or more and your distributor has opted to receive Transaction Charges, ₹ 150/- (for the first time mutual fund investor) or ₹ 100/- (for the investor other than first time mutual fund investor) will be deducted from the subscription amount and paid to the distributor. Units will be issued against the balance amount invested.

Authorization to pay SIP installments through Electronic Clearing Service (ECS) / Electronic Debit

I/We hereby, authorise PPFAS Mutual Fund or their authorised service provider or PPFAS Asset Management Private Limited to debit my/our bank account by ECS (Debit Clearing)/Electronic Debit for the collection of SIP installments.

1. EXISTING INVESTOR DETAILS (If you have existing folio)

Folio No.

The details in our records under the folio number mentioned alongside will apply for this application.

NAME OF FIRST / SOLE APPLICANT Mr. Ms. M/s.

SIP DETAILS

☐ Monthly SIP (Default Option, Minimum: ₹ 1,000, 6 months)

☐ Quarterly SIP (Minimum: ₹ 5,000, 4 quarters)

Dates ☐ 1st ☐ 5th ☐ 10th (Default)

☐ 15th ☐ 20th ☐ 25th

Period ☐ Standard From

M	M	Y	Y	Y	Y
---	---	---	---	---	---

 To

M	M	Y	Y	Y	Y
---	---	---	---	---	---

☐ Default From

M	M	Y	Y	Y	Y
---	---	---	---	---	---

 To

1	2	2	0	9	9
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PPFAS Long Term Value Fund - Growth

SIP Amount

☐ 1,000 ☐ 5,000 ☐ 10,000

☐ 50,000 ☐ 100,000 ☐ 500,000

☐ Any Other Amount

2. BANK ACCOUNT DETAILS

Name (As per the Banks Record)

AC Type ☐ Please tick (✓) ☐ SB ☐ Current ☐ NRO ☐ NRE ☐ FCNR

Account No.

Branch Address

Bank Name

City

Pin Code

Branch

IFSC Code

MICR Code

Please tick (✓) if applicable:

☐ One Time Mandate is already registered in the folio. [No need to submit again]. SIP Auto debit can start in 15 Days. i.e. for debit days 7th, form can be submitted till 2nd of the month.

☐ One Time Mandate is attached and to be registered in the folio. SIP Auto debit will start after mandate registration which takes Fifteen to Thirty days depending on NACH or ECS modalities. The total of all installments in a day should be less than or equal to the amount as mentioned in One Time Mandate already registered or submitted, if not registered.

Declaration: Having read, understood and agreed to the contents of OTM facility, the Scheme information Document, Statement of Additional Information, Key Information Memorandum, instructions and Addendum issued from time to time of the respective Scheme of PPFAS Mutual Fund mentioned within, I hereby declare that the particulars given above are correct my willingness to make payments towards PPFAS Long Term Value Fund.

I/We hereby declare that the particulars given above are correct and express my willingness to make payments referred above through participation in ECS, if the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the user institution responsible. I/We will also inform PPFAS Mutual Fund, about any changes in my bank account. I/We have read and understood the offer document/Key Information Memorandum of the scheme. I/We apply for the units of the scheme and I/We agree to abide by the terms, conditions, rules and regulations of the scheme.

SIGNATURES AS PER PPFAS MUTUAL FUND (MANDATORY)

Sole/1st Applicant/
Guardian Authorised Signatory

2nd Applicant/Guardian
Authorised Signatory

3rd Applicant/Guardian
Authorised Signatory

SIGNATURES AS PER BANK RECORDS (MANDATORY)

Sole/1st Applicant/
Guardian Authorised Signatory

2nd Applicant/Guardian
Authorised Signatory

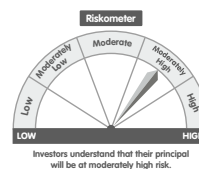
3rd Applicant/Guardian
Authorised Signatory

This product is suitable for investors who are seeking long term capital growth.

Investment objective of the scheme

The investment objective of the Scheme is to seek to generate long-term capital growth from an actively managed portfolio primarily of Equity and Equity Related Securities. Scheme shall invest in Indian equities, foreign equities and related instruments and debt securities.

Investors should consult their financial advisers if in doubt about whether this scheme is suitable for them.



ACKNOWLEDGMENT SLIP (To be filled in by the Unit holder)

PPFAS Long Term Value Fund: ☐ Direct Plan ☐ Regular Plan Application No.

Folio No.

Date

ISC Stamp & Signature

SIP Amount

SIP Frequency

☐ Monthly

☐ Quarterly

SIP TERMS & CONDITIONS

- If the fund fails to get the proceeds from three installments out of a series of installments submitted at the time of initiating a SIP, the SIP is deemed as discontinued.

- Minimum six installments are required for SIP extension / renewal.

- There should be a gap of one month between the first and subsequent installments.

- In accordance with SEBI letter no MRD/DoP/PAN/PM/166999/2009 dated 19 June 2009 issued to Association of Mutual Funds in India (AMFI) and subsequent guidelines issued by AMFI vide its circular no 35P/MEM-COR/4/09-10 dated 14 July 2009 in this regard, only those SIPs up to Rs 50,000/- per year per investor i.e. aggregate of investments in a rolling 12-months period or in a financial year i.e. April to March would be classified as 'Micro SIP'

- Investments in Mutual Funds (including SIP investments where the aggregate of SIP installments in a rolling 12 months period or in a financial year i.e. April to March) of upto Rs. 50,000/- per investor per year shall be exempt from the requirement of PAN. They can submit any of the following Photo identification documents in lieu of PAN.

(a) Voter Identity Card

(b) Driving License

(c) Government / Defense identification card

(d) Passport

(e) Photo Ration Card

(f) Photo Debit Card (Credit card not included because it may not be backed up by a bank account).

(g) Employee ID cards issued by companies registered with Registrar of Companies (database available in the following link of Ministry of Company affairs <http://www.mca.gov.in/DCAPortalWeb/dca/MyMCALogin.do?method=setDefaultProperty&mode=31>)

(h) Photo Identification issued by Bank Managers of Scheduled Commercial Banks / Gazetted Officer / Elected Representatives to the Legislative Assembly / Parliament

(i) ID card issued to employees of Scheduled Commercial / State / District Co-operative Banks.

(j) Senior Citizen / Freedom Fighter ID card issued by Government.

(k) Cards issued by Universities / deemed Universities or institutes under statutes like ICAI, ICWA, ICSI.

(l) Permanent Retirement Account No (PRAN) card issued to New Pension System (NPS) subscribers by CRA (NSDL).

(m) Any other photo ID card issued by Central Government / State Governments/ Municipal authorities / Government organizations like ESIC / EPFO.

• SIP Frequency

Incase SIP day falls on non-business day, the SIP transaction shall be processed on the next business day.

- Incase of SIP transactions, the AMC shall terminate the transactions in the below mentioned cases

1. Three continuous failures on account of insufficient funds / payment stopped by investor.

2. Account closed or transferred by the investor.

3. Investors account description does not tally with the description maintained by R&TA/ Mutual Fund.

4. In case of specific court order.

- As per the revised guidelines on KYC procedures, investors investing in Micro SIP would have to undergo detailed KYC procedure as mentioned in Statement of Additional Information (SAI) including IPV.

- In case the application for subscription does not comply with the above provisions, The AMC/ Trustees retain the sole and absolute discretion to reject/ not process such application and refund the subscription money and as such not be liable for any such rejection.

- Complete Common Application form and SIP ECS Mandate Form along with the first cheque should be submitted to the AMC / CAMS Collection Centers.

- New investors should mandatorily give a cheque for the first Installment. The first cheque should be drawn on the same bank account which is to be registered for ECS (Debit Clearing). Alternatively, the cheque may be drawn on any bank, for which investor should provide a cancelled cheque of the bank/ branch for which ECS is to be registered. The SIP application if submitted without the common application form should be supported with a original cancelled cheque.

- First SIP cheque and subsequent SIP installments via ECS should be of the same amount.

- ECS instructions will take a minimum of 30 business days for registration with the bank and hence the first auto debit will be carried out only after one month, on the SIP date mentioned on the form. The AMC reserves the right to modify the SIP period depending on the one month period for registration to ensure minimum number of installments as mentioned in Scheme Information Document (SID).

- Request for cancellation should be submitted 30 business days prior to the next SIP

date.

- Request for change in bank mandate to be submitted atleast 30 business days before the due date of next SIP installment.

- The bank account provided for ECS (Debit) should participate in local MICR Clearing.

- MICR code starting and / or ending with 000 are not valid for ECS

- The investor agrees to abide by the terms and conditions of ECS facility of the Reserve Bank of India (RBI) as applicable at the time of investment and as may be modified from time to time.

- The investor undertakes to keep sufficient funds in the account till the date of execution of the debit. The investor hereby declares that the particulars given overleaf are correct and complete. If the date of debit to the investors account happens to be a non Business day as per the fund, execution of the debit will not happen on the day of the holiday and allotment of Units will happen as per the terms and conditions listed in the concerned Scheme Information Document (SID). The Fund, its Registrars, Auto Debit Banks and other service providers shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligation under this agreement, where such failure or delay is caused, in whole or in part, by any acts of God, civil war, civil commotion, riots, strike, mutiny, revolution, fire, flood, fog, war, change of government policies, unavailability of banks computer system, force majeure events or any other cause of peril which is beyond their reasonable control and which has the effect of preventing the performance of contract by them.

- Investors will not hold PPFAS Mutual Fund, its registrars, banks and other service providers responsible if the transaction is delayed or not effected or the investor's bank account is debited in advance or after the specific SIP date for any other reason.

- Incorrect, incomplete or ambiguous forms will not be accepted.

- To avail of SIP in separate schemes/options via Direct Debit/ECS facility, an investor will have to fill a separate form for each scheme/ options. A single form cannot be used for different schemes simultaneously.

- In case the SIP end date is not mentioned while submitting the application, the SIP mandate will be construed to be perpetual, till instruction to the contrary is received from the investor

- For modification/change in SIP amount, New SIP Auto debit mandate form with revised SIP amount alongwith letter to discontinue the existing SIP amount to be submitted 30 business days prior to the installment date.

- If an investor gives a request for change in bank mandate of the same amount as that of the existing SIP amount in the same folio, the existing SIP will be discontinued and only the new SIP containing new bank details will be considered. But if an investor gives a request for change in bank mandate with different SIP amount both the new SIP as well as the existing SIP would continue.

- In case of an investor who was a minor, the minor on attaining majority has to submit all the necessary documents and complete all the formalities as mentioned in the SID to start or renew a SIP/SWP.

- The allotment of units in case of SIP in PPFAS Long Term Value Fund will be based on realization and utilization of funds only.

- In case an investor gives full/partial redemption request in a folio where there is an on going SIP, then redemption will be processed only for the units towards which the amount has been realized.



Call us on
91 22 6140 6537



Email us at
ppfasmf@ppfas.com



Visit our Website
www.amc.ppfas.com

Instructions to fill SIP Mandate

1. The investor agrees to abide by the terms and conditions of NACH facility of NPCI, Direct Debit facility of Banks, and ECS facility of RBI. PPFAS Mutual Fund, its registrars and other service providers shall not be held responsible or will not be liable for any damages and will not compensate for any loss, damage etc. incurred to the investor. The investor assumes the entire risk of using this facility and takes full responsibility. Investor will not hold PPFAS Mutual Fund, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles of Auto Debit /ECS / local holidays.

2. PPFAS Mutual Fund does not accept applications for subscriptions accompanied with third party payment instruments except in exceptional situations.

Third Party Payment is defined as:

- Payment made through an instrument issued from an account other than that of the beneficiary investor.
- In case of payments from a joint bank account, the 1st holder of the mutual fund folio has to be one of the joint holders of the Bank Account from which payment is made.
- Investments from the investor's account with a different bank i.e., the pay-in & payout banks are different, if the pay-in bank mandate could not be established to be that of the investor, it will also be treated as third party investment.

The exceptional situations where the same will not be applicable are as follows:

- Payment by Parents/Grandparents/related persons on behalf of a minor in consideration of natural love and affection or as gift for a value not exceeding Rs. 50,000/- (each regular purchase or per SIP installment);
- Payment by employer on behalf of employee under Systematic Investment Plan (SIP) facility through payroll deductions;

3. Minimum application amount for Monthly SIP Option –12 installments of Rs. 1000/- each or 4 installments of Rs. 5,000/- each and in multiples of Re. 1 thereafter.

4. The provisions mentioned in the respective SID regarding Applicable NAV, Risk Factors, Load etc. shall be applicable.

5. Investors are required to provide an original cancelled cheque (or a copy) with name and account number pre-printed of the bank account to be registered or bank account verification letter for registration of the mandate failing which registration may not be accepted. The Unit holder(s) cheque/ bank account details are subject to third party verification. In case the investor is not able to submit the Original cancelled cheque or do not have the name of the investor on the face of the cheque. Then submit any one of the following:

- Copy of the bank passbook attested by bank / Original bank statement with name, address and bank account number of the investor.
- A letter from the bank on its letter head certifying that the investor maintains an account with the bank, along with the information like the bank account number, bank branch, account type, the MICR code of the branch and the IFSC code.

6. Allotment of units would be subject to realisation of credit.

7. PPFAS Mutual Fund reserves the right to use Electronic Mail (email) as a default mode to send various communication which include account statements for transactions done by the investor(s). On acceptance of the application for subscription, an allotment confirmation specifying the number of units allotted by way of email and/or SMS within 5 Business Days from the date of closure of initial subscription and/or date of receipt of transaction request will be sent to the Unit holders registered e-mail address and/or mobile number. A consolidated account statement (CAS) for each calendar month to the investor(s) in whose folio(s) transaction(s) across all mutual funds has/have taken place during the month on or before 10th of the succeeding month shall be sent by email (wherever investor has provided email id) or physical account statement where investor has not provided email id.

8. Transaction Charge: In accordance with SEBI circular No. Cir/ IMD/ DF/13/ 2011 dated August 22, 2011, PPFAS Mutual Fund will deduct Transaction Charges from the purchase/ subscription amount received from the investors investing through a valid ARN Holder i.e. AMFI registered Distributor (provided the Distributor has opted to receive the Transaction Charges). Transaction Charge of Rs. 150 (for a first time investor across

mutual funds) or Rs. 100 (for investor other than first time mutual fund investor) per purchase / subscription of Rs. 10,000 and above are deductible from the purchase / subscription amount and payable to the Distributor. The balance amount shall be invested. Transaction charges in case of investments through SIP: Transaction Charges in case of investments through SIP are deductible only if the total commitment of investment (i.e. amount per SIP installment x No. of installments) amounts to Rs. 10,000 or more. In such cases, Transaction Charges shall be deducted in 3-4 installments. Transaction Charges shall not be deducted:

- where the Distributor of the investor has not opted to receive any Transaction Charges
- for purchases / subscriptions / total commitment amount in case of SIP of an amount less than Rs. 10,000/-;

• 9. Employee Unique Identification Number (EUIIN): Further, SEBI has made it compulsory for every employee/ relationship manager/ sales person of the distributor of mutual fund products to quote the EUIIN obtained by him/her from AMFI in the Application Form. EUIIN would assist in addressing any instance of mis-selling even if the employee/relationship manager/sales person later leaves the employment of the distributor. Hence, if your investments are routed through a distributor please ensure that the EUIIN is correctly filled up in the Application Form.

However, if the transaction is executed without any interaction or advice of the employee/ relationship manager / sales person of the distributor the EUIIN box may be left blank. In this case you are required to provide a duly signed declaration to this effect in the form.

Overseas Distributors: Overseas Distributors are exempt from obtaining NISM certification and AMFI registration as per AMFI circular No. CIR/ ARN-14/12-13 dated July 13, 2012 and the EUIIN requirement as per AMFI Circular No.135/BP/33/2012-13 dated December 31, 2012. However, such Overseas Distributors are required to comply with the guidelines/ requirements as may be issued by AMFI /SEBI from time to time and also comply with the laws, rules and regulations of jurisdictions where they carry out their operations in the capacity of distributors

10. An investor can opt for Monthly or Quarterly frequency. An investor shall have the option of choosing for 1 or more than 1 SIP in the same scheme and in the same month.

11. In case there are three consecutive SIP transaction reversals, the subsequent SIP installments will be automatically ceased.

12. "Untill Cancelled" will be the default option in a case end date for enrolment period is not provided.

In case an investor, who has opted for Untill Cancelled, subsequently intends to discontinue the same, a written communication thereof will be required to be furnished. In case the SIP end date is not mentioned while submitting the application, the SIP mandate will be construed to be Untill Cancelled, till instruction to the contrary is received from the investor.

13. Procedure for Cancellation of Existing SIP: Investor needs to submit a letter from the investor OR the prescribed SIP Discontinuity form, duly completed and signed by all the unit holders. This request should be received to us at least 15 days prior to the next due date of SIP.

14. PPFAS Mutual Fund reserves the right to reject any application without assigning any reason thereof. PPFAS Mutual Fund in consultation with Trustees reserves the right to withdraw these offerings, modify the procedure, frequency, dates, load structure in accordance with the SEBI Regulations and any such change will be applicable only to units transacted pursuant to such change on a prospective basis.



Call us on
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Email us at
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Visit our Website
www.amc.ppfas.com