

1. Applicant's Details

Name

PAN

2. Incorporation / Formation in India (✓) ☐ Yes ☐ No

If No, please specify the countries of Incorporation / Formation / Tax Residency

Tax Payer Identification Number (If the country of incorporation/ Formation/ Tax Residency is other then India)

3. Are you a financial institution (including an FFI) (✓) (Refer instructions) If yes, please provide the following information:

Please (✓) any one of the below:

- ☐ Financial Institution incorporated in India
- ☐ Financial Institution incorporated in another country that has an inter-governmental agreement (IGA) with the US on FATCA
- ☐ FFI in a country without an IGA that has registered to obtain a GIIN
- ☐ Others _____ (please specify)

GIIN

(Global Intermediary Identification Number)

If GIIN not available (✓ any one)

- ☐ Applied for on
- ☐ Not required to apply/not obtained for the following reasons (✓)
- ☐ We are a Non-participating FFI
- ☐ We are a Certified deemed-compliant FFI under U.S. Treasury Regulations
- ☐ We are an Exempt beneficial owner under U.S. Treasury Regulations
- ☐ Any another reason (please specify)

4. Are you listed company (that is, a company whose shares are regularly traded on a recognized stock exchange) (✓)

☐ Yes ☐ No

(If yes, specify the name of the stock exchange(s) where it is regularly traded)

- i.
- ii.

Are you a "Related Entity" of a listed company (✓) (Refer instruction 2)

☐ Yes ☐ No (If yes, specify the name of the listed company)

- i.
- ii.

Specify the name of the stock exchange(s) where it is regularly traded

- i.
- ii.

Are you an active NFFE (✓) (Refer instructions 3 & 4)

Details of controlling persons will not be considered for FATCA purpose

☐ Yes ☐ No (If yes, specify the nature of business)

- i.
- ii.

Are you a passive NFFE (✓) (Refer instructions 5 & 7)

☐ Yes ☐ No (If yes, specify the nature of business)

- i.
- ii.

For all Controlling persons who are citizens / tax residents / green card holders other than India, provide their Name, Address, Taxpayer Identification Number and Percentage of holding by filling UBO Form & enclose additionally

Declaration: I/We acknowledge and confirm that the information provided above is/are true and correct to the best of my/our knowledge and belief and provided after consulting necessary tax professionals. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/We am/are aware that I/We may liable for it. I/We hereby authorize you to disclose, share, remit in any form, mode or manner, all / any of the information provided by me/ us, including all changes, updates to such information as and when provided by me/ us to Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees / associated parties / RTAs (the Authorized Parties) or any Indian or foreign governmental or statutory or judicial authorities / agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India and other investigation agencies without any obligation of advising me/us of the same. Further, I/We, authorize to share the given information to other SEBI Registered Intermediaries to facilitate single submission / updation & for other relevant purposes. I/We also undertake to keep you informed in writing about any changes / modification to the above information in future and also undertake to provide any other additional information / documentary proof as may be required at your end.

Date:

Place:

[Names and Signature(s) of Authorized Signatory(ies) with Official Stamp]