SYSTEMATIC TRANSFER INVESTMENT PLAN

STRIP ENROLMENT FORM



(Please read terms and conditions/instructions overleaf)

(OCBs & US Persons Including Qualified Foreign Investors Registered in USA and Canada and Proiderts of Canada are not allowed to Invest in Units of any of the schemes of UTI MD.

PLAN		Resid	ents of Ca	anada ar	e not allov	ved to	Invest in l	Jnits of	any of	the so	:heme	es of l	JTI MF))		For	off	ice ı	ise o	only	
Name of Financial Advise	or and ARN	Sub A	RN Code	e S	Sub Code	ſ	EUI No.	МС) Cod	e	UTI	RM I	No.	IH	I NO.	. F	lepc	ortin	g Br	anch	h Na
132061						E	35238	9													
pfront Commission shall be paid We confirm that the EUIN box is 1e advice of in-appropriateness,	intentionally left	t blank by m	ne/us as thi	is is an "e	execution-or	nly" tra	insaction w	thout ar	y inter	action	or ad	vice by	the dis	stribut	tor per		el cor	ncerne	ed or r	notwi	ithsta
Signature of 1st A	pplicant / Guard	lian			Signa	iture o	of 2nd Appl	icant						Sig	gnatur	e of 3	3rd A	pplic	ant		
Application / Folio No. of Sc	ource Scheme												Dat	e:	D		M	M	Y	Y	Υ
1. FIRST / SOLE APPLIC	ANT INFOR	MATION	I (MAN	DATOP	RY)																
lame of First / Sole Applica	int																				
lame of the Second Applic	ant																				
lame of the Third Applican	nt																				
lame of the Guardian (in c	ase First / Sole	e Applicar	nt is a m	inor)																	
APPLICANT	P/	AN (Man	datory)		K	YC C	Complied		M	obile	No.					F	i-ma	ail ID)		
First/Sole Applicant	_		_																		
Second Applicant			_																		
Third Applicant			_																		
Guardian					/ / / · · · · · · · · · · · · · · · · ·					_											
2. SYSTEMATIC TRANS			'LAN DE	TAILS				take 7	days t				P)								
Frequency of STRIP Minimum No. of STRIP		Daily 20			Weekly 6					Monthly 6					Quarterly						
Minimum No. of STRIP		20 ₹ 100			इ	o ₹100	0					5 000		2 ₹ 3000							
Dates of transfer	All business days			_	1st, 7th,15th and 25th				1st, 7th, 15th and 25th 1st, 7th, 15th and						25th						
FROM - UTI		SCHEME NAME				Plan						0	Option								
ΓΟ - UTI		EME N	J A M E				Plan						0	ptio	'n						
xed Amount per transfer					Frequency (Please 🗸 Tick) 🗌 DAILY 🗌 WEEKLY									MONTHLY QUARTERLY							
ates (please ✓ tick)	1st	71	th 「	15th		· ·		per of t													
ansfer period from			lv Iv	v	To				лIх	v											
B. DECLARATION AND					10				-1 T		1 1										
We have read and understood estination scheme and the term vestment has been duly author / We have not received nor beer he ARN holder has disclosed hutual Funds from amongst v I / We confirm that we are Nor / We undertake to provide furth	is/conditions over ised by appropri n induced by any to me/us all th which the Sche n-Residents of In	erleaf. I/We iate authori y rebate or he commis me is bein ndian Natio	hereby ap ities in terr gifts, direc ssions (in ng recomr mality / Ori	oply for er ms of all r ctly or inc the forn mended igin and 1	nrolment u relevant do directly in n n of trail o to me/us. that the fu	nder S ocumen naking ommis nds are	TRIP and ag nts and proo i investmen ssion or ar e remitted f	ree to a cedural r s. iy othe r rom abr	bide by equirer r mode oad thi	r the tr ments. e), pay rough	yable	to hir	ndition n for t	ns of S the di	STRIP.	I / We	e und	ting / our	scher	confirr mes c	rm th of va O Acc
(Signa First /Sole Unithe		 1			S		nature) Unitholde	r								(Si Third	gnat I Uni		ler		
	Acknowl	edgem	ent of	STRIP	' Enrolr	nent	t Form (To be	fille	ed in	ı by	the	Unit	t ho	ldei	r)				3	≫
							(for e	existing	unith	older	r) Fol	io No									
Received from Mr./Miss		Ŧ				Eror	n Schore		20									STI	RIP a	applic	catio
Amount of transfer pe to Scheme/Plan	n installment	(FIOR	n Schem	e / Pla	111												
	675			_																	
Transfer Frequency	STR	IP Date			nsfer Peri																
Daily	1.4			DD	/ MM / Y	YYY	to	DD/N	/MM / `	YYYY		Date & Stamp of Receiv									
Weekly)ato :	8, 5+2	imn (of Rr	aceiv	ing I	IEC	
Monthly	1st 15th		7th 25th	Fixed	d Amount	t per 1	Transfer						D)ate (& Sta	amp (of Re	eceiv	ring l	JFC	

Dt. 02-06-2015

- Systematic Transfer Investment Plan: is a facility wherein investor can opt to transfer a fixed amount at regular intervals 1. from one designated scheme to another designated scheme of UTI Mutual Fund
- Minimum amount of investment: in the source scheme is Rs 2. 12,000/-. This is applicable for the initial investment in the case of new investors and outstanding balance in the case of existing Unitholders.

The minimum amount of investment for "Institutional Plan" under the Source Schemes, wherever applicable, for Systematic Transfer Investment Plan (STRIP) will be the same as applicable for normal investment under "Institutional Plan" as mentioned in the Scheme Information Document of the respective Schemes. Where the Destination Scheme is UTI-Floating Rate Fund (Short Term Plan) / UTI-Liquid Cash Plan / UTI-Money Market Fund / UTI-Irreasury Advantage Fund, an Investor is required to maintain the minimum amount required for the scheme as applicable for the respective schemes.

the respective schemes. Default Investment: In the "To Scheme" if no investment option is ticked default option will be Growth Option. If no dividend option type is selected, default option will be "Reinvestment". If no frequency is ticked, however date of transfer is ticked by the investor, then by default Monthly option will be considered. In of requency is ticked and if all 4 dates are ticked, same will be treated as weekly option and processed accordingly. If frequency and Date are ticked however no period is mentioned // Number of transfer is left blank, STP shall commence immediately on the next STP date (Business Day) after 7 days from the time stamping date of the form and will continue until default period of 5 years.

of 5 vears.

- of 5 years. Default amount:- If no amount is mentioned then by default minimum amount as prescribed under each frequency shall be considered as the STRIP amount. **Periodicity of transfer:** STRIP facility is offered with the following periodicity. Daily, Weekky, Monthly and Quarterly. Weekly, STRIP will not be allowed, if the STRIP under the folio is already registered with any other frequency (Daily / Monthly / Quarterly). Minimum No. of Davy for Reniztation of STRIP. The
- Minimum No. of Days for Registration of STRIP: The application for enrolment of STRIP should be submitted atleast 7 days prior to the commencement of first STRIP date. 5.
- Transfer of funds: Transfer of funds will take place from source scheme to destination scheme for the schemes mentioned below in the table. 6.
- in the table. Date of transfer: Unitholders will be eligible to transfer a fixed amount on daily basis i.e. on every business day; on specified date i.e. 1st, 7th, 15th, & 25th of each month under Weekly periodicity; on a pre-specified date i.e. on 1st or 7th or 15th or 25th of each month under the Monthly periodicity and on 1st or 7th or 15th or 25th of the first month of each quarter, under Quarterly periodicity. If that day being a holiday, next business day would be considered for the transaction. Minimum amount of transfer: The minimum amount to be transferred is 81 100/- per business day under Daily Periodicity: 7.
- 8. transferred is Rs.100/ – per business day under Daily Periodicity; Rs.1000/ – under the Weekly Periodicity; Rs.1,000/ – under the Monthly Periodicity and Rs.3,000/ – under the Quarterly Periodicity.

Features and Terms & Conditions

- Maximum amount of transfer: There is no upper limit for transfer under all the periodicities. 9.
- Minimum Number of STRIPS: Minimum number of STRIP will be 20 under Daily Periodicity, 6 under Weekly Periodicity, 6 under Monthly Periodicity and 2 under Quarterly Periodicity. There will be no upper limit. If the minimum number is not mentioned, then by default, the transaction to be continued till the amount is the periodicity advantage. 10. in the source scheme gets exhausted.
- Transfer of amount: The transfer of amount from the source scheme to the destination scheme will be effected by redeeming 11. the units of the source scheme at applicable NAV/redemption price as on specified date and the amount will be converted into units as per applicable NAV/purchase price under the destination scheme as on the specified date.
- Load: Load structure existing at the time of investment under source / destination scheme will be applicable under the respective 12. schemes.

In terms of SEBI circular no. SEBI/IMD/CIR No.4/ 168230/09 dated In terms of SEBI circular no. SEBI/IMD/CIR No.4/ 168230/09 dated June 30, 2009, no entry load will be charged by the Scheme to the investor effective August 1, 2009. Upfornt commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor. No entry load shall be charged for direct applications received by the Asset Management Company (AMC) i.e. applications received through internet, submitted to AMC or collection centre that are not routed through any distributor/agent/broker. No entry load shall also be charged for additional purchases done directly by the investor under the same folio and switch in to a scheme from other schemes if such a transaction is done directly by the investor. Wherever the ARN/Code of a broker/IFA/sub-broker/distributor given in the application form has to be struck off, it has to be

Wherever the ARIVCOde of a broker/InASUb-Droker/distributor given in the application form has to be struck off, it has to be counter signed by the first applicant. In case the first applicant does not counter sign it the application will not be treated as a direct application. For direct applications, the area for providing ARIV Code of a broker/IFA/Subbroker/ distributor should not be left blank. It should be marked "Direct" or "Not Applicable". All Official Points of Acceptance will be available on the website of UTI Mutual Fund www.utimf.com.

- No entry and exit load will be charged on Bonus Units issued and on Units allotted on Re-investment of Dividend.
- Termination of STRIP: STRIP will automatically get terminated under the following conditions
- The unit holdings under the source scheme becomes nil or lower than minimum amount to be transferred as stipulated.
- In the case of death of the first unit holder.

13.

- If the unit holder wishes to terminate at any time by sending a written request to official point of acceptance. Such notice will have to be sent at least 15 calendar days prior to the due date of the next transfer date.
- If lien or pledge or STOP is marked against the units in the source scheme
- If redemption is put for all units or entire amount during the STRIP transfer then the STRIP would cease off after units are redeemed.

List of Schemes where STRIP facility is available

STRIP facility is available stination Schomor

Source Scheme	UTI Dividend Yield Fund	UTI-Gilt Advantage Fund – LTP UTI-G-Sec Fund Short Term Plan					
UTI-Banking & PSU Debt Fund	UTI Energy Fund UTI Equity Fund						
UTI-Bond Fund	UTI Equity Tax Savings Plan	UTI-Income Opportunities Fund					
UTI-Dynamic Bond Fund	- UTI Infrastructure Fund	UTI-MIS Advantage Plan					
UTI-Gilt Advantage Fund – LTP	UTI Leadership Equity Fund	UTI-Monthly Income Scheme					
UTI-G-Sec Fund Short Term Plan	UTI Mastershare Unit Scheme	UTI-Short Term Income Fund					
UTI-Income Opportunities Fund	UTI Mid Cap Fund	UTI Children's Career Balanced Plan					
UTI-MIS Advantage Plan UTI-Monthly Income Scheme UTI-Short Term Income Fund	UTI MNC Fund	UTI Mahila Unit Scheme					
	UTI Multi Cap Fund	UTI Retirement Benefit Pension Fund UTI-CCP Advantage Fund UTI-Unit Scheme for Charitable & Religious Trusts 8					
UTI-Floating Rate Fund (Short Term Plan)	UTI Nifty Index Fund						
UTI-Liquid Cash Plan	UTI Opportunities Fund						
UTI-Money Market Fund	UTI Pharma & Healthcare Fund	Registered Societies (UTI-CRTS)					
UTI-Treasury Advantage Fund	UTI SPrEAD Fund UTI Top 100 Fund	UTI-Floating Rate Fund (Short Term Plan) UTI-Liquid Cash Plan					
UTI-SPrEAD Fund	UTI Transportation and Logistics Fund						
Destination Schemes	UTI Wealth Builder Fund Series-II	UTI-Money Market Fund					
(To any one of the schemes)	UTI-India Lifestyle Fund	UTI-Treasury Advantage Fund UTI-Unit Linked Insurance Plan UTI-Medium Term Fund					
UTI Balanced Fund	UTI-Banking & PSU Debt Fund						
UTI Banking Sector Fund	UTI-Bond Fund						
	UTI-Dynamic Bond Fund						

Following table may please be referred for Default options:

Default option										
Dividend Option type	Destination scheme option	If no frequency is ticked	If no dates are ticked	If no amount is mentioned						
Dividend Reinvestment	Growth Option of the scheme	Monthly frequency by default	Immediately on the next STP date (Business Day) after 7 days from the time stamping date of the form and will continue until default period of 5 years	Minimum amount as prescribed under each frequency						
Disease refere in structure and D										

Please refer instruction no. 3

GENERAL INSTRUCTIONS

- (i) The name of unitholders (1st. 2nd & 3rd) and their order should be identically mentioned in the source scheme application form as well as STRIP enrolment form
- New Investor desiring to opt for STRIP facility is required to fill in source scheme application form as well as STRIP enrolment form. (ii)
- The provision of "Minimum Application Amount" and "Minimum (iiii) Redemption Amount" if specified in the respective scheme information document of the source and destination scheme will not be applicable in the case of transaction through STRIP except Institutional Plan.
- (iv) STRIP request will be registered for a folio, even if it is already under Systematic Investment Plan (SIP), Monthly Withdrawal Plan (MWP) or Fixed Withdrawal Plan (FWP)
- If the residual amount in source Scheme is less than the scheme minimum amount or strip amount whichever is less, then the (v) residual amount will be included in the last STRIP installment. However, on termination of STRIP, if the balance in the destination scheme is found to be below the minimum amount as per the provisions of scheme, the same will be redeemed at the redemption price applicable on the effective date of termination under the conditions stated in point no. 13 above
- If the total investment amount to be transferred is not mentioned then the entire invested amount will be transferred. (vi)
- UTI Asset Management Co. Ltd. reserves the right to reject STRIP (vii) mandate without assigning any reasons thereof
- UTI AMC reserves the right to change / modify the terms & conditions of STRIP facility at any time. (viii)
- SoAs under STRIP Daily / Weekly Periodicity will be issued (ix) on a quarterly basis viz December, March, June & September respectively.
- Know Your Client (KYC) requirement is now mandatory for all (x) investors, irrespective of the amount of investment w.e.f. January 01, 2011. For details, please refer to Statement of Additional Information (SAI)
- Note on EUIN: Investors should mention the EUIN of the (xi) person who has advised the investor. If left blank, please sign the declaration provided in the application form. EUIN will assist in tackling the problem of mis-selling even if the employee/ relationship manager/sales person leave the employment of the ARN holder /Sub broker

Applicability of EUIN :

- Transactions to be included Purchases, Switches, SIP/ STP / STP Triggers registration, Dividend Transfer Plan registration.
- Transactions to be excluded Auto SIP/ STP / SWP / STP b. Triggers Installments, Dividend Reinvestments, Bonus Units, Redemption, SWP Registration, Zero Balance Folio creation and Dividend Transfer Plan installments.